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PTO/SB/21 (6-98)  
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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

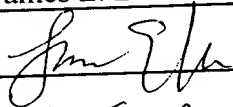
Application Number	09/653,156
Filing Date	August 31, 2000
First Named Inventor	Vishnu K. Agarwal
Group Art Unit	2831
Examiner Name	Unassigned
Attorney Docket Number	MI22-1518

Total Number of Pages in This Submission

## ENCLOSURES (check all that apply)


<input checked="" type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	PTO return receipt postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	Declaration
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	Check in the amount of \$170.00
<input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Customer No. 021567 The Commissioner is hereby authorized to charge any additional fees required under 37 CFR Sections 1.16 and 1.17 and credit any overpayments to: 23-0925.	

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	James E. Lake
Signature	
Date	08 Jan 2001

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 01/08/2001

Typed or printed name	Rebecca Joyce	Date	1-8-01
Signature			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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AN 12 2001  
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# FEE TRANSMITTAL for FY 1999

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

TOTAL AMOUNT OF PAYMENT (\$170.00)

## Complete if Known

Application Number	09/653,156
Filing Date	August 31, 2000
First Named Inventor	Vishnu K. Agarwal
Examiner Name	Unassigned
Group / Art Unit	2831
Attorney Docket No.	MI22-1518

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number  
23-0925  
Deposit Account Name  
Wells, St. John

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☒ Payment Enclosed:  
☒ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 760	201 380	Utility filing fee	
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 760	208 380	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$0.00)

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	0.00
Multiple Dependent	-3** =	X	0.00

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$0.00)

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105 130	205 65			Surcharge - late filing fee or oath	0.00
127 50	227 25			Surcharge - late provisional filing fee or cover sheet.	0.00
139 130	139 130			Non-English specification	0.00
147 2,520	147 2,520			For filing a request for reexamination	0.00
112 920*	112 920*			Requesting publication of SIR prior to Examiner action	0.00
113 1,840*	113 1,840*			Requesting publication of SIR after Examiner action	0.00
115 110	215 55			Extension for reply within first month	0.00
116 380	216 190			Extension for reply within second month	0.00
117 870	217 435			Extension for reply within third month	0.00
118 1,360	218 680			Extension for reply within fourth month	0.00
128 1,850	228 925			Extension for reply within fifth month	0.00
119 300	219 150			Notice of Appeal	0.00
120 300	220 150			Filing a brief in support of an appeal	0.00
121 260	221 130			Request for oral hearing	0.00
138 1,510	138 1,510			Petition to institute a public use proceeding	0.00
140 110	240 55			Petition to revive - unavoidable	0.00
141 1,210	241 605			Petition to revive - unintentional	0.00
142 1,210	242 605			Utility issue fee (or reissue)	0.00
143 430	243 215			Design issue fee	0.00
144 580	244 290			Plant issue fee	0.00
122 130	122 130			Petitions to the Commissioner	0.00
123 50	123 50			Petitions related to provisional applications	0.00
126 240	126 240			Submission of Information Disclosure Stmt	0.00
581 40	581 40			Recording each patent assignment per property (times number of properties)	40.00
146 760	246 380			Filing a submission after final rejection (37 CFR 1.129(a))	0.00
149 760	249 380			For each additional invention to be examined (37 CFR 1.129(b))	0.00

Other fee (specify) Response to Missing Parts

130.00

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$170.00)

## SUBMITTED BY

Typed or Printed Name  
James E. Lake

Signature

Date  
08 Jan 01

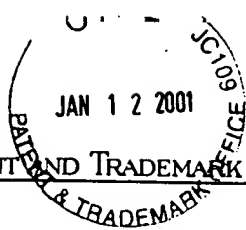
## Complete (if applicable)

Reg. Number  
44,854

Deposit Account User ID

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/653,156	08/31/2000	Vishnu K. Agarwal	MI22-1518

021567  
WELLS ST JOHN ROBERTS GREGORY AND MATKIN  
SUITE 1300  
601 W FIRST AVENUE  
SPOKANE, WA 992013828

FORMALITIES LETTER



\*OC000000005481510\*

Date Mailed: 11/06/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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